

PTO-1390 (Rev. 07-2005)

Approved for use through 3/31/2007. OMB 0651-0021

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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<b>TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371</b>		ATTORNEY'S DOCKET NUMBER <b>4126 P 003</b>
INTERNATIONAL APPLICATION NO. <b>PCT/GB2004/004456</b>		U.S. APPLICATION NO. (if known, see 37 CFR 1.5) <b>10/576548</b>
INTERNATIONAL FILING DATE <b>21 October 2004</b>		PRIORITY DATE CLAIMED <b>23 October 2003</b>
TITLE OF INVENTION <b>Waste Storage Device</b>		
APPLICANT(S) FOR DO/EO/US <b>Ian Alexander Webb and Mark Armstrong</b>		

Submission to  
enter the  
national stage  
under 35 U.S.C.  
§ 371.

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a submission under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a submission under 35 U.S.C. 371.
3. ☐ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.
4. ☒ The US has been elected (Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
  - a. ☒ is attached hereto (required only if not communicated by the International Bureau).
  - b. ☐ has been communicated by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
  - a. ☐ is attached hereto.
  - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
  - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
  - b. ☐ have been communicated by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☒ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☐ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

**Items 11 to 20 below concern document(s) or information included:**

11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A preliminary amendment.
14. ☐ An Application Data Sheet under 37 CFR 1.76.
15. ☐ A substitute specification.
16. ☐ A power of attorney and/or change of address letter.
17. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825.
18. ☐ A second copy of the published International Application under 35 U.S.C. 154(d)(4).
19. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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U.S. APPLICATION NO. (if known, see 37 CFR 1.5)		INTERNATIONAL APPLICATION NO.		ATTORNEY'S DOCKET NUMBER	
10/576548		PCT/GB2004/004456		4126 P 003	
20. Other items or information: Please see the attached.					
The following fees have been submitted				CALCULATIONS PTO USE ONLY	
21. <input checked="" type="checkbox"/> Basic national fee (37 CFR 1.492(a))..... \$300				\$ 300.00	
22. <input checked="" type="checkbox"/> Examination fee (37 CFR 1.492(c))				\$ 200.00	
If the written opinion prepared by ISA/US or the international preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4)..... \$0					
All other situations.....\$200					
23. <input checked="" type="checkbox"/> Search fee (37 CFR 1.492(b))				\$ 400.00	
If the written opinion of the ISA/US or the International preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4)..... \$0					
Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority.....\$100					
International Search Report prepared by an ISA other than the US and provided to the Office or previously communicated to the US by the IB..... \$400					
All other situations.....\$500					
TOTAL OF 21, 22 and 23 =				900.00	
<input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing in compliance with 37 CFR 1.821(c) or (e) or computer program listing in an electronic medium) (37 CFR 1.492(j)). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.					
Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof (round up to a whole number)	RATE		
- 100 =	/50 =		x \$250	\$ -----	
Surcharge of \$130.00 for furnishing any of the search fee, examination fee, or the oath or declaration after the date of commencement of the national stage (37 CFR 1.492(h)).				\$ 130.00	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	\$	
Total claims	17 - 20 =	0	x \$ 50	\$ 0	
Independent claims	7 - 3 =	4	x \$200	\$ 800.00	
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$360	\$ -----	
TOTAL OF ABOVE CALCULATIONS =				\$ 1830.00	
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2.				-----	
SUBTOTAL =				\$ 1830.00	
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)).				\$ -----	
TOTAL NATIONAL FEE =				\$ 1830.00	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property				\$ -----	
TOTAL FEES ENCLOSED =				\$ 1830.00	
				Amount to be refunded:	\$
				Amount to be charged	\$

Item No. 20: Other items or information:

Copy of Specification (11 pages), Claims (3 pages), and Drawings (7 pages, Figs. 1-10) =  
Application as published with the PCT as International Publication Number WO  
2005/042381 A2.

Copy of 7 sheets of Formal Drawings (Figs. 1-10).

Copy of cover sheet of International Publication Number WO 2005/042381 A2 published  
12 May 2005, 2 pages.

Copy of cover sheet of International Publication Number WO 2005/042381 A3 published  
12 May 2005, with International Search Report, 6 pages.

Copy of International Search Report mailed 06 February 2004, 5 pages.

Copy of Written Opinion of the International Searching Authority, 8 pages, mailed 17  
May 2005.

10/576548

IAP15 Rec'd PCT/PTO 20 APR 2006

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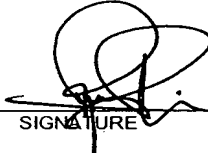
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- a. ☒ A check in the amount of \$ 1,830.00 to cover the above fees is enclosed. Check No. 26938.
- b. ☐ Please charge my Deposit Account No. \_\_\_\_\_ in the amount of \$ \_\_\_\_\_ to cover the above fees.  
A duplicate copy of this sheet is enclosed.
- c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 23-0280. A duplicate copy of this sheet is enclosed.
- d. ☐ Fees are to be charged to a credit card. **WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

**NOTE:** Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.

SEND ALL CORRESPONDENCE TO:

CUSTOMER NUMBER 23424  
Roger H. Stein  
Wallenstein Wagner & Rockey, Ltd.  
311 S. Wacker Drive, 53rd Floor  
Chicago, Illinois 60606-6630

  
SIGNATURE

Roger H. Stein  
NAME

31,882  
REGISTRATION NUMBER

Submission to  
enter the  
national stage  
under 35 U.S.C.  
§ 371.

## Certificate of Express Mailing

In re U.S. Patent Application      Ian Alexander Webb and Mark Armstrong  
From PCT/GB2004/004456 of:

For: Waste Storage Device

Mailing Date: April 20, 2006

Attorney Docket No.: 4126 P 003

Enclosures: Post Card.

Check No. 26938 in the sum of \$1,830.00 as filing fee (\$300), examination fee (\$200), search fee (\$400), 4 extra claims (\$800), and surcharge (\$130) for late filing of Declaration and Power of Attorney, for large entity.  
Transmittal Letter to the U.S. Designated/Elected Office (DO/EO/US)  
Concerning a Filing under 35 U.S.C. 371, 4 pages, in duplicate.  
Preliminary Amendment, 7 pages, in duplicate.  
Copy of Specification (11 pages), Claims (3 pages), and Drawings (7 pages, Figs. 1-10) = Application as published with the PCT as International Publication Number WO 2005/042381 A2.  
Copy of 7 sheets of Formal Drawings (Figs. 1-10).  
Copy of cover sheet of International Publication Number WO 2005/042381 A2 published 12 May 2005, 2 pages.  
Copy of cover sheet of International Publication Number WO 2005/042381 A3 published 12 May 2005, with International Search Report, 6 pages.  
Copy of International Search Report mailed 06 February 2004, 5 pages.  
Copy of Written Opinion of the International Searching Authority, 8 pages, mailed 17 May 2005.

This is a 35 U.S.C. Section 371 U.S. National Filing from PCT International Application No. PCT/GB2004/004456 filed 21 October 2004 claiming priority from GB2003/24764.0 filed 23 October 2003.

I hereby certify that this paper and the above documents are being deposited with the U.S. Postal Service as Express Mail in a postage-paid envelope addressed to Mail Stop PCT, Commissioner For Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450, on April 20, 2006 under Express Mail No. EV 622867329 US.

Kathleen Rundquist  
Kathleen Rundquist/243457

**PLEASE ADDRESS ALL FUTURE COMMUNICATIONS TO:**

**ROGER H. STEIN, ESQ.**

**CUSTOMER NUMBER 23424**

**WALLENSTEIN WAGER & ROCKEY, LTD.**

**311 SOUTH WACKER DR., 53<sup>RD</sup> FLOOR**

**CHICAGO, ILLINOIS 60606-6630**

**312.554.3300**